

CPENALTY3

English Name	EPA Handler ID (Primary Key)
Oracle Name	HANDLER_ID
Description	Unique RCRA identification number assigned by the implementing State or Region to each RCRA site (e.g., generators; transporters; and treatment, storage, disposal facilities).
Data Type and Length	VARCHAR2 (12)
Allowed Values	Valid ID as outlined below: The ID can be a minimum of 4 characters and a maximum of 12 characters. The first two characters must be a valid state postal code which corresponds to the state in which the handler is located. Spaces are not allowed.
Default Value	
Notes	Handlers associated with Navajo Nation should be assigned Handler IDs beginning with 'NN' regardless of where the handler is physically located.
English Name	Enforcement Activity Location (Primary Key)
Oracle Name	ENF_ACTIVITY_LOCATION
Description	Indicates the location of the agency regulating the activity.
Data Type and Length	CHAR (2)
Allowed Values	State postal code
Default Value	
Notes	For a list of valid state postal codes, refer to the Column Information Report for LU_STATE.
English Name	Enforcement Identifier (Primary Key)
Oracle Name	ENF_IDENTIFIER
Description	Name or number assigned by the implementing agency to identify an enforcement.
Data Type and Length	VARCHAR2 (3)
Allowed Values	Any non-blank value
Default Value	001
Notes	
English Name	Date of Enforcement Action (Primary Key)
Oracle Name	ENF_ACTION_DATE
Description	The date the enforcement action was issued. For all formal actions involving written documents, the date should be the same as the date the document is signed. For an informal action, the date should reflect the date the handler received actual notification.
Data Type and Length	DATE
Allowed Values	For enforcement actions linked to a violation: Valid date >= Date Violation Was Determined and <= Today in MM/DD/YYYY format For enforcement actions not linked to a violation: Valid date >= January 1, 1980 and <= Today in MM/DD/YYYY format
Default Value	

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English Name	Enforcement Responsible Agency (Primary Key)	
Oracle Name	ENF_AGENCY	
Description	Agency responsible for issuing the enforcement action.	
Data Type and Length	CHAR (1)	
Allowed Values	E	EPA
	S	State
Default Value		

English Name	Penalty Type Owner (Primary Key)	
Oracle Name	PENALTY_OWNER	
Foreign Key	Foreign key to OWNER in LU_PENALTY_TYPE.	
Description	Indicates the agency that defined the Penalty Type.	
Data Type and Length	CHAR (2)	
Allowed Values	HQ	Nationally defined
Default Value		

English Name	Penalty Type (Primary Key)	
Oracle Name	PENALTY_TYPE	
Foreign Key	Foreign key to PENALTY_TYPE in LU_PENALTY_TYPE.	
Description	Code that indicates the type of penalty associated with the penalty amount.	
Data Type and Length	VARCHAR2 (3)	
Allowed Values	Nationally-defined value in LU_PENALTY_TYPE	
	Existing nationally-defined value:	
FMP	Final Monetary Penalty The amount of the total penalty in dollars that a site named in an enforcement action must pay directly to the responsible agency (for consent agreements with SEP, this amount does not include SEP credits).	
FSC	Final SEP Cost The amount referenced in an enforcement action, at the issuance of the agreement, as the cost in dollars to the site of a supplemental environmental project. This amount is used as the basis to determine how much of the cost may be applied as a SEP Credit (SCR) to offset a portion of a penalty.	
PMP	Proposed Monetary Penalty The amount of the total penalty in dollars proposed in an initial enforcement action.	
SCR	SEP Credit The actual or estimated credit in dollars allowed by the agency for the completed SEP and applied towards the total final settlement amount. This is the dollar amount used as an offset to the actual penalty amount and usually represents only a portion of the actual cost (or Final SEP Cost) of the SEP.	
USM	Up to the Statutory Maximum This penalty type is used to indicate the responsible agency is seeking up to the maximum penalty allowed by law in their	

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enforcement action.

Note: The penalty amount for penalty type USM can only be \$0 (zero).

ZAP

Zero Ability to Pay

Used to track a final monetary penalty of zero to allow the recording of circumstances where the State or Region assessed a monetary penalty but later, through whatever means available, justified that the facility is unable to pay a penalty. Penalty type ZAP allows the tracking of this final assessed/settled penalty of \$0.

Note: The penalty amount for penalty type ZAP can only be \$0 (zero) and implementers must enter an explanation as to why there was an inability to pay the penalty into the penalty Notes field. The Notes field for Penalty Type ZAP will be enforcement sensitive and will never be released to the public.

Default Value Notes

Total Final Settlement is another type of penalty amount that is not included as a value under Penalty Type. The Total Final Settlement must be calculated by summing the amount of the Final Monetary Penalty (FMP) and the amount of the SEP Credit (SCR). For example, a settlement may require the site to send the responsible agency a check for \$80,000 and engage in one or more supplemental environmental projects for which the agency would allow \$20,000 in credit. The Total Final Settlement in this instance would be \$100,000 (\$80,000 from FMP + \$20,000 from SCR).

Enforcement Action / Penalty Type Cross-Reference

100 series (informal actions) / None

- These actions are informal enforcement actions. No penalties should be associated with this type of enforcement action series.

200 series (initial formal actions) and 300 series (final formal actions) / PMP, FMP, FSC, SCR, and ZAP

- These actions may involve penalties or no penalty at all. Since these series are used to track formal enforcement actions from initial filing through to issuance of a final order or agreement and payment of penalty, all penalty code descriptors can be used.

400 series (civil judicial referrals) / PMP and ZAP

- These actions may or may not involve recommendations for proposed penalties. If a proposed penalty is being included in the referral, it may be captured by using the Proposed Monetary Penalty (PMP). No other code may be associated with 400 series actions.

500 series (initial civil judicial actions) and 600 series (final civil judicial actions) / PMP, FMP, FSC, SCR, and ZAP

- These are the actions taken as a result of referrals made in the 400 series. For the 500 and 600 series, all penalty type codes may be used, as appropriate.

700 series (criminal actions) / FMP and ZAP

- These actions involve enforcement actions to address criminal activity. In criminal actions, program offices will not be aware of any penalties until a fine has been handed down after conviction of the vio

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English Name	Penalty Amount
Oracle Name	AMOUNT
Description	The dollar amount associated with the penalty type.
Data Type and Length	NUMBER (13.2)
Allowed Values	0 - 9,999,999,999.99
Default Value	0
Notes	The Penalty Amount can be zero only if the Penalty Type is 'ZAP', otherwise, the Penalty Amount must be greater than zero.
English Name	User ID of Last Change
Oracle Name	USERID
Description	Records the user ID of the last person to change the data.
Data Type and Length	VARCHAR2 (6)
Allowed Values	Valid user ID
Default Value	Current user ID
English Name	Date of Last Change
Oracle Name	LAST_CHANGE
Description	Records the date that the data was last changed.
Data Type and Length	DATE
Allowed Values	Valid date/time stamp
Default Value	Current date and time
English Name	Notes
Oracle Name	NOTES
Description	Additional description or information pertaining to the penalty.
Data Type and Length	VARCHAR2 (2000)
Allowed Values	Any value blank Not provided
Default Value	blank
Notes	If the Penalty Type is 'ZAP', then an explanation as to why there was an inability to pay the penalty must be provided in the Notes field. The Notes field for Penalty Type 'ZAP' will be enforcement sensitive and will never be released to the public.

*** End of Report ***